

Andrea Damascelli UBC-MPI Quantum Matter Institute

ARPES on Correlated Electron Systems CUSO Lecture – Lausanne 02/2011



Á WOutline

- Introduction: Transition metal oxides
- ARPES: Fundamentals and spectral function
- ARPES: Technique and developments
- Sr₂RuO₄: A Fermi liquid with SO coupling
- Polarons and sudden approximation
- HTSC: The fate of quasiparticle strength



Physica Scripta. Vol. T109, 61-74, 2004

Probing the Electronic Structure of Complex Systems by ARPES

Andrea Damascelli

Department of Physics & Astronomy, University of British Columbia, 6224 Agricultural Road, Vancouver, British Columbia V6T 1Z1, Canada

Received June 18, 2003; accepted June 30, 2003

PACS Ref: 79.60.i, 71.18.+y; 71.20.-b

Abstract

Angle-resolved photoemission spectroscopy (ARPES) is one of the most direct methods of studying the electronic structure of solids. By measuring the kinetic energy and angular distribution of the electrons photoemitted from a sample illuminated with sufficiently high-energy radiation, one can gain information on both the energy and momentum of the electrons propagating inside a material. This is of vital importance in elucidating the connection between electronic, magnetic, and chemical structure of solids, in particular for those complex systems which cannot be appropriately described within the independent-particle picture. The last decade witnessed significant progress in this technique and its applications, thus ushering in a new era in photoelectron spectroscopy; today, ARPES experiments with 2meV energy resolution and 0.2° angular resolution are a reality even for photoemission on solids. In this paper we will review the fundamentals of the technique and present some illustrative experimental results; we will show how ARPES can probe the momentum-dependent electronic structure of solids providing detailed information on band dispersion and Fermi surface as well as on the strength and nature of many-body correlations, which may profoundly affect the oneelectron excitation spectrum and in turn the macroscopic physical properties.

photoemission event is decomposed in three independent steps: optical excitation between the initial and final bulk Bloch eigenstates, travel of the excited electron to the surface, and escape of the photoelectron into vacuum after transmission through the surface potential barrier. This is the most common approach, in particular when photoemission spectroscopy is used as a tool to map the electronic band structure of solids. However, from the quantummechanical point of view photoemission should not be described in terms of several independent events but rather as a *one-step* process (Fig. 1(b)): in terms of an optical transition (with probability given by Eq. (12)) between initial and final states consisting of many-body wave functions that obey appropriate boundary conditions at the surface of the solid. In particular (see Fig. 2), the initial state should be one of the possible *N*-electron eigenstates of the semi-infinite crystal, and the final state must be one of the



Outline Part I

Introduction:

Transition metal oxides

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Strongly Correlated Electron Systems





- Kondo
- Mott-Hubbard
- Heavy Fermions
- Unconventional SC
- Spin-charge order
- Colossal MR



Strongly Correlated Electron Systems





Novel Complex Materials and Functionalities

Tune the physical properties

Chakhalian et al., Nature Physics 2006



Modern synthesis methods

Single crystals, multilayers, nanostructures

- Sophisticated structural tools
 Physical, chemical, and magnetic structures
- Novel probes of intrinsic susceptibilities Lattice, magnetic, and electronic excitations

 $\mathcal{E}(q,q',\omega) \quad \chi(q,q',\omega)$ $N(\vec{r}, E) \qquad A(\vec{k}, E)$

Interface-tuned magnetism in oxide multilayers



Understand interplay of lattice, spin, charge, orbital degrees of freedom



Outline Part I

ARPES: Fundamentals and spectral function

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Scientific application: Spectroscopy





Angle-Resolved Photoelectron Spectroscopy (ARPES)



Scientific application: Spectroscopy





Electron Spectroscopy for Chemical Analysis (ESCA)

Kai Siegbahn:



"for his contribution to the development of high-resolution electron spectroscopy"



UBC – 2005

Andrea Damascelli

Scientific application: Spectroscopy





Electron Spectroscopy for Chemical Analysis (ESCA)

Kai Siegbahn:



"for his contribution to the development of high-resolution electron spectroscopy"



 $\boldsymbol{E}_{kin} = h\nu - \phi - |\boldsymbol{E}_{\boldsymbol{B}}|$



Andrea Damascelli

Solid State: Electrons in Reciprocal Space

Many properties of a solids are determined by electrons near E_F (conductivity, magnetoresistance, superconductivity, magnetism)



Only a narrow energy slice around E_F is relevant for these properties (kT=25 meV at room temperature)



Band Mapping and Fermi Contours



ARPES: Widespread Impact in Complex Materials

HTSC's



CMR's



CDW's



Quasicrystals



Nature 2000

Quantum Wells







Nanotubes



Nature 2003

Diamond



Nature 2005

Band Velocity $v_k = \frac{1}{\hbar} \frac{\partial \mathcal{E}_k}{\partial k}$

Cu surface state



Band Mass $\frac{1}{m_{k}} = \frac{1}{\hbar^{2}} \frac{\partial^{2} \mathcal{E}_{k}}{\partial k^{2}}$

Graphene



Momentum

Reinert & Hufner, NJP 2005

Momentum

Zhou et al., Nat. Phys. 2006

Angle-Resolved Photoemission Spectroscopy





ARPES: One-Step vs Three-Step Model

Photoemission Intensity $I(k,\omega)$ $w_{fi} \propto |\langle \Psi_f^N | \mathbf{A} \cdot \mathbf{p} | \Psi_i^N \rangle|^2 \delta(E_f^N - E_i^N - h\nu)$

One-step model





ARPES: One-Step vs Three-Step Model

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One-step model



Three-step model



ARPES: The Sudden Approximation

Photoemission Intensity $I(k,\omega)$ $w_{fi} \propto |\langle \Psi_f^N | \mathbf{A} \cdot \mathbf{p} | \Psi_i^N \rangle|^2 \delta(E_f^N - E_i^N - h\nu)$

> Sudden approximation One Slater determinant

$$\Psi_{f}^{N} = \mathcal{A} \phi_{f}^{\mathbf{k}} \Psi_{f}^{N-1}$$
$$\Psi_{i}^{N} = \mathcal{A} \phi_{i}^{\mathbf{k}} \Psi_{i}^{N-1}$$

ARPES: The Sudden Approximation

Photoemission Intensity $I(\mathbf{k}, \boldsymbol{\omega})$ $w_{fi} \propto |\langle \phi_f^{\mathbf{k}} | \mathbf{A} \cdot \mathbf{p} | \phi_i^{\mathbf{k}} \rangle \langle \Psi_m^{N-1} | \Psi_i^{N-1} \rangle|^2 \delta(\omega - h\nu)$

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ARPES: The Sudden Approximation

 $\begin{array}{l} \textbf{Photoemission} \\ \textbf{Intensity } I(\textbf{k}, \textbf{\omega}) \end{array} \} \hspace{0.1cm} w_{fi} \propto |\langle \phi_{f}^{\textbf{k}} | \textbf{A} \cdot \textbf{p} | \phi_{i}^{\textbf{k}} \rangle \langle \Psi_{m}^{N-1} | \Psi_{i}^{N-1} \rangle|^{2} \delta(\omega - h\nu) \end{array}$

Sudden approximation One Slater determinant

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$$\Psi_{i}^{N} = \mathcal{A} \phi_{i}^{\mathbf{k}} \Psi_{i}^{N-1}$$



ARPES: Role of the Crystal Potential

Photoemission Intensity $I(k,\omega)$ $w_{fi} \propto |\langle \phi_f^k | \mathbf{A} \cdot \nabla V | \phi_i^k \rangle \langle \Psi_m^{N-1} | \Psi_i^{N-1} \rangle |^2 \delta(\omega - h\nu)$

> Sudden approximation One Slater determinant

$$\Psi_{f}^{N} = \mathcal{A} \phi_{f}^{\mathbf{k}} \Psi_{f}^{N-1}$$
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"In a nearly-free electron gas, optical absorption may be viewed as a two-step process. The absorption of the photon provides the electron with the additional energy it needs to get to the excited state. The crystal potential imparts to the electron the additional momentum it needs to reach the excited state. This momentum comes in multiples of the reciprocal-lattice vectors G: So in a reduced zone picture, the transitions are vertical in wave-vector space. But in photoemission, it is more useful to think in an extended-zone scheme."

G.D. Mahan, Phys. Rev. B 2, 4334 (1970)

ARPES: Three-step Model & Sudden Approximation

 $\begin{array}{l} \textbf{Photoemission} \\ \textbf{Intensity } I(\textbf{k, \omega}) \end{array} \} \ w_{fi} \propto |\langle \phi_f^{\mathbf{k}} | \underline{\mathbf{A} \cdot \nabla V} | \phi_i^{\mathbf{k}} \rangle \langle \Psi_m^{N-1} | \Psi_i^{N-1} \rangle |^2 \delta(\omega - h\nu) \end{array}$

The photoemission intensity for the SAME band is very DIFFERENT in various Brillouin zones



ARPES: Energetics and Kinematics





ARPES: Energetics and Kinematics



Electrons in Reciprocal Space



ARPES: Interacting Systems

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. 75, 473 (2003)



In general $\Psi_i^{N-1} = c_{\mathbf{k}} \Psi_i^N$ NOT orthogonal Ψ_m^{N-1}

ARPES: Interacting Systems

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. 75, 473 (2003)



"Like removing a stone from a water bucket"

ARPES: Fermi Liquid Description

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. 75, 473 (2003)



Photoemission intensity: $I(k, \omega) = I_0 / M(k, \omega) / f(\omega) A(k, \omega)$

Non-interacting

Fermi Liquid

$$A(\mathbf{k},\omega)\!=\!\delta(\omega\!-\!\epsilon_k)$$

No Renormalization Infinite lifetime

$$A(\mathbf{k},\omega) = Z_{\mathbf{k}} \frac{\Gamma_{\mathbf{k}}/\pi}{(\omega - \varepsilon_{\mathbf{k}})^2 + \Gamma_{\mathbf{k}}^2} + A_{inc}$$
$$m^* > m \quad |\varepsilon_{\mathbf{k}}| < |\epsilon_{\mathbf{k}}|$$
$$\tau_{\mathbf{k}} = 1/\Gamma_{\mathbf{k}}$$

 $\Sigma(\mathbf{k},\omega)$: the "self-energy" captures the effects of interactions

Franck-Condon

HIGH-TEMPERATURE SUPERCONDUCTIVITY -

Testing Fermi-liquid models

G.A. Sawatzky



"In gaseous hydrogen, the equilibrium bond length is dependent on the degree of occupation of that level. The electrons are dressed by interatomic displacements. The intensities are given by the Franck-Condon factors, the molecular equivalent of the sudden approximation. The ARPES spectrum of solid hydrogen, developed from the molecular spectrum, will be angle dependent but for some angle will resemble the broken line. The fundamental transition (0-0) becomes the solid state quasiparticle peak. The phonon excitations develop into a broad, incoherent quasicontinuum."

ARPES: The One-Particle Spectral Function

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. 75, 473 (2003)



Photoemission intensity: $I(k, \omega) = I_0 / M(k, \omega) / f(\omega) A(k, \omega)$

Single-particle spectral function
$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \frac{\Sigma''(\mathbf{k}, \omega)}{[\omega - \epsilon_{\mathbf{k}} - \Sigma'(\mathbf{k}, \omega)]^2 + [\Sigma''(\mathbf{k}, \omega)]^2}$$

 $\Sigma(\mathbf{k},\omega)$: the "self-energy" captures the effects of interactions

Many-Body Correlation Effects in Sr₂RuO₄



N.J.C. Ingle, K.M. Shen, A. Damascelli et al., PRB 72, 205114 (2005)



Outline Part I

ARPES: Technique and developments

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Angle-Resolved Photoemission Spectroscopy



Parallel multi-angle recording

- Improved energy resolution
- Improved momentum resolution
- Improved data-acquisition efficiency

	$\Delta E (meV)$	$\Delta \theta$
past	20-40	2°
now	1-10	<i>0.2</i> °






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A. Damascelli et al., PRL 85, 5194 (2000)

STANFORD SYNCHROTRON RADIATION LABORATORY



$\Delta E \ (meV)$	$\Delta \theta$
1-10	0.2°





New Developments: ARPES + Spin + Time

ARPES+Spin polarimeter

ARPES+Time of Fight





Wang et al., arXiv:1101.5636 (2011)

Nishide et a., New J. Phys. 12, 065011 (2010)

ARPES: Surface vs Bulk Sensitivity

Mean-free path for excited electrons





Sekiyama et al., Nature 403, 396 (2000)

ARPES: Advantages and Limitations

Advantages

- Direct information about the electronic states!
- Straightforward comparison with theory little or no modeling.
- High-resolution information about
 BOTH energy and momentum
- Surface-sensitive probe
- Sensitive to "many-body" effects
- Can be applied to small samples (100 μm x 100 μm x 10 nm)

Limitations



- Not bulk sensitive
- Requires clean, atomically flat surfaces in **ultra-high vacuum**
- Cannot be studied as a function of pressure or magnetic field



Outline Part II

Sr₂RuO₄: A Fermi liquid with spin-orbit coupling

CUSO Lecture – Lausanne 02/2011

Sr₂RuO₄: p-wave Superconductivity

2D perovskite



Unconventional superconductivity

- Pairing mechanism?
- Order parameter ?
- FM-AF fluctuations ?

Rice & Sigrist, JPCM 7, L643 (1995)



Mackenzie & Maeno, RMP 75, 657 (2003)



Xia et al., PRL 97, 167002 (2006) 80 8 60 6 $\Delta \theta_{\rm K} (10^{-9} \, {\rm rad})$ R_{ab} (10 $^{-6}$ Ω) 40 20 0 0 -20 -2 0.5 2.5 1.5 0 2 3 Temperature (K)

1D ($d_{xz,yz}$) versus 2D (d_{xy}) Superconductivity ?



Band structure calculation: 3 t_{2g} bands crossing E_F



Mazin et al., PRL 79, 733 (1997)

The Fermi Surface of Sr₂RuO₄

de Haas-van Alphen



Bergemann et al., PRL 84, 2662 (2000)

LDA



Mazin et al., PRL 79, 733 (1997)

ARPES



Damascelli et al, PRL 85, 5194 (2000)

The Fermi Surface of Sr₂RuO₄

de Haas-van Alphen



Bergemann et al., PRL 84, 2662 (2000)

Surface



Bulk



Damascelli et al, PRL 85, 5194 (2000)

Damascelli et al, PRL 85, 5194 (2000)

Cleaved at 10K

Cleaved at 200K

Structural surface reconstruction: rotation of RuO₆ octahedra

R. Matzdorf et al., Science 289, 746 (2000)

Surface reconstruction of cleaved Sr₂RuO₄



R. Matzdorf et al., Science 289, 746 (2000)

I4/mmm

1.2 1.4 1.6 1.8 2

Rotation of the RuO₆ octahedra around the c axis



Cleaving-Temperature Dependence of Sr₂RuO₄ Surfaces

Temperature dependent STM

 $\sim 0.002 \text{ defects}/\text{nm}^2$



Y. Pennec, N.J.C. Ingle, I.S. Elfimov, E. Varene, Y. Maeno, A. Damascelli, J.V. Barth, PRL 101, 216103 (2008)

2H-NbSe₂: Normal State Electronic Structure





Here electronic correlations are weak: 1 to 1 matching with DFT

Band Renormalization by Electronic Correlations



Band structure calculation: 3 t_{2q} bands crossing E_F





Mazin et al., PRL 79, 733 (1997)

Band Renormalization by Electronic Correlations



The first indication of correlations is band narrowing



Many-Body Correlation Effects in Sr₂RuO₄



N.J.C. Ingle, K.M. Shen, A. Damascelli et al., PRB 72, 205114 (2005)

What is the role of spin-orbit coupling in Ru-oxides? In Sr₂RuO₄ it has been effectively mostly ignored!

PRL 101, 026406 (2008)

PHYSICAL REVIEW LETTERS

week ending 11 JULY 2008

Strong Spin-Orbit Coupling Effects on the Fermi Surface of Sr₂RuO₄ and Sr₂RhO₄

M. W. Haverkort,¹ I. S. Elfimov,² L. H. Tjeng,¹ G. A. Sawatzky,² and A. Damascelli²

¹II. Physikalisches Institut, Universität zu Köln, Zülpicher Straße 77, 50937 Köln, Germany

²Department of Physics and Astronomy, University of British Columbia, Vancouver, British Columbia, Canada V6T 1Z1 (Received 29 February 2008; published 11 July 2008)

PRL 101, 026408 (2008)

PHYSICAL REVIEW LETTERS

week ending 11 JULY 2008

Coulomb-Enhanced Spin-Orbit Splitting: The Missing Piece in the Sr₂RhO₄ Puzzle

Guo-Qiang Liu, V. N. Antonov, O. Jepsen, and O. K. Andersen. Max-Planck Institut f
ür Festkörperforschung, D-70569 Stuttgart, Germany (Received 2 April 2008; published 11 July 2008)

Eigenstates with Spin-Orbit Coupling

Starting from degenerate t_{2g} orbitals



M.W. Haverkort, I.S. Elfimov, L.H. Tjeng, G.A. Sawatzky, A. Damascelli, PRL 101, 026406 (2008)

Importance of Spin-Orbit Coupling in 4d Oxides



M.W. Haverkort, I.S. Elfimov, L.H. Tjeng, G.A. Sawatzky, A. Damascelli, PRL 101, 026406 (2008)



Outline Part II

Polarons and sudden approximation

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Franck-Condon

HIGH-TEMPERATURE SUPERCONDUCTIVITY -

Testing Fermi-liquid models

G.A. Sawatzky



"In gaseous hydrogen, the equilibrium bond length is dependent on the degree of occupation of that level. The electrons are dressed by interatomic displacements. The intensities are given by the Franck-Condon factors, the molecular equivalent of the sudden approximation. The ARPES spectrum of solid hydrogen, developed from the molecular spectrum, will be angle dependent but for some angle will resemble the broken line. The fundamental transition (0-0) becomes the solid state quasiparticle peak. The phonon excitations develop into a broad, incoherent quasicontinuum."

Development of Low-Energy LASER-ARPES

PRL 94, 057001 (2005)

PHYSICAL REVIEW LETTERS

week ending 11 FEBRUARY 2005

Photoemission Spectroscopic Evidence of Gap Anisotropy in an *f*-Electron Superconductor

T. Kiss,^{1,*} F. Kanetaka,¹ T. Yokoya,^{1,†} T. Shimojima,¹ K. Kanai,¹ S. Shin,^{1,2} Y. Onuki,^{3,4} T. Togashi,² C. Zhang,⁵ C. T. Chen,⁵ and S. Watanabe¹

¹Institute for Solid State Physics (ISSP), University of Tokyo, Kashiwa, Chiba 277-8581, Japan
 ²The Institute of Physical and Chemical Research (RIKEN), Sayo-gun, Hyogo 679-5143, Japan
 ³Graduate School of Science, Osaka University, Toyonaka, Osaka 560-0043, Japan
 ⁴Advanced Science Research Center, JAERI, Tokai Ibaraki 319-1195, Japan
 ⁵Beijing Center for Crystal R&D, Chinese Academy of Science, Zhongguancun, Beijing 100080, China (Received 5 June 2004; published 7 February 2005)





Development of Low-Energy LASER-ARPES

PRL 94, 057001 (2005)

PHYSICAL REVIEW LETTERS

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 ⁴Advanced Science Research Center, JAERI, Tokai Ibaraki 319-1195, Japan
 ⁵Beijing Center for Crystal R&D, Chinese Academy of Science, Zhongguancun, Beijing 100080, China (Received 5 June 2004; published 7 February 2005)

Low energy ARPES is now becoming popular as a new tool for spectroscopy

Higher bulk sensitivity: material specific, depending on relaxationsAdvantages:Narrow excitation linewidth: higher energy resolutionSmaller light spot: higher angular resolution

Breakdown of sudden approximation?

Drawbacks: Higher sensitivity to final state effects Smaller amount of k-space accessible



The intensity changes but not the dispersion!

Sudden approximation

The N-1 system eigenstates don't change

 $w_{fi} \propto |\langle \phi_f^{\mathbf{k}} | \mathbf{A} \cdot \mathbf{p} | \phi_i^{\mathbf{k}} \rangle$



 $-h\nu$)



If the sudden approximation breaks down, the electron will be emitted with the highest possible kinetic energy and most of its spectral weight will be in a sharp line since the incoherent continuum is suppressed).

This does NOT prevent detecting features in the experiments due to correlation effects, because the (many-body) eigenstates of the N-1 particle system remain the same.

However, the ratio of intensity between coherent and incoherent parts of the spectral function will change, which WILL prevent a determination of Z from the evolution of the spectral weight detected by ARPES.

The intensity changes but not the dispersion!

Low-Energy ARPES and Final State Effects

$\begin{array}{l} \text{Photoemission} \\ \text{Intensity } I(\textbf{k}, \textbf{\omega}) \end{array} \} \ w_{fi} \propto |\langle \phi_f^{\mathbf{k}} | \mathbf{A} \cdot \mathbf{p} | \phi_i^{\mathbf{k}} \rangle \langle \Psi_m^{N-1} | \Psi_i^{N-1} \rangle |^2 \delta(\omega - h\nu) \end{array}$



Excitation in the solid

Working at high photon energies the electron is excited in a continuum of high-energy states; a final state is always available and the photoemission process can take place (with intensity still dependent on matrix elements).

At low photon energy photoemission is affected by the kinematic constrain deriving from energy and momentum conservation, and the k-dependent structure of the final states. For some initial state there is no final state that can be reached at a given photon energy and the intensity vanishes.

Many-Body Correlation Effects in Sr₂RuO₄



N.J.C. Ingle, K.M. Shen, A. Damascelli et al., PRB 72, 205114 (2005)

Many-Body effects in the High-T_C Cuprates





FIG. 3 (color). Image plots of the calculated spectral functions in the normal (a1,b1,c1) and superconducting (a2,b2,c2) states compared to the spectral functions in the normal (a3,b3,c3) and superconducting (a4,b4,c4) states measured in $Bi_2Sr_2Ca_{0.92}Y_{0.08}Cu_2O_{8+\delta}$ (Bi-2212) [6] for momentum cuts *a*, *b*, *c* shown in the rightmost panel and in Fig. 2. The same color scale is used for the normal or superconducting pairs within each cut, but the scaling for the data and the calculation are separate. The red markers indicate 70 meV in the superconducting state.

$Mechanism for High-T_{c} \begin{cases} Magnetic fluctuations ? \\ Electron-phonon coupling ? \end{cases}$

 $\mathcal{H} = \sum_{k} \varepsilon_{k}^{b} c_{k}^{\dagger} c_{k} + \Omega \sum_{Q} b_{Q}^{\dagger} b_{Q} + \frac{g}{\sqrt{N}} \sum_{k,Q} c_{k-Q}^{\dagger} c_{k} (b_{Q}^{\dagger} + b_{-Q})$



Veenstra, Goodvin, Berciu, Damascelli, PRB 82, 012504 (2010)

Renormalization of Polaronic Quasiparticles



FIG. 1. (Color online) (a) $A(k, \omega)$ calculated within MA⁽¹⁾ for $\Omega = 50$ meV and $\lambda = 0.5$; the quasiparticle dispersion ε_k^q and the bare band ε_k^b are also shown. (b) Quasiparticle and bare-band velocities, v_k^q and v_k^b , and (c) corresponding inverse masses, $1/m_k^q$ and $1/m_k^b$, according to the definitions $v_k = \partial \varepsilon_k / \partial k$ and $1/m_k = \partial^2 \varepsilon_k / \partial k^2$. (d) Momentum-dependent quasiparticle renormalization as obtained from v_k^b / v_k^q , m_k^q / m_k^b , and the inverse quasiparticle coherence $1/Z_k^q$, where $Z_k^q = \int^q A(k, \omega) d\omega$ is the quasiparticle-only integrated spectral weight; in the inset, these quantities are compared near k=0 to the renormalization factors Ω / W^q and $(1+\lambda)$, obtained from quasiparticle bandwidth W^q and dimensionless coupling $\lambda = g^2/2t\Omega$ in our model.

Far from the Migdal limit ($\Omega << E_F$ for a parabolic band), the effective coupling parameters deduced from the renormalization of quasiparticle mass, velocity, and spectral weight are momentum dependent and, in general, distinct from the true microscopic coupling; the latter is thus not readily accessible in the quasiparticle dispersion revealed by ARPES through the mass enhancement factor 1/(1+ λ).

Veenstra, Goodvin, Berciu, Damascelli, PRB 82, 012504 (2010)

Renormalization of Polaronic Quasiparticles



Based on the renormalization parameters and the mass enhancement factor $1/(1+\lambda)$, one can overestimate the true electron phonon microscopic coupling even by a factor of 10.

Veenstra, Goodvin, Berciu, Damascelli, PRB 82, 012504 (2010)

Renormalization of Polaronic Quasiparticles



Instead of extracting directly λ , one can estimate real and imaginary part of the self energy through bare -band fitting and Kramers-Kronig analysis.

0 (c) M. -50 0 50 100 150 Binding Energy (meV) Veenstra, Goodvin, Berciu, Damascelli, PRB 82, 012504 (2010)

3

2

Momentum (1/Å)

(meV)

-25 ผ

-50

(a)

(b) 0

MA⁽¹⁾ Holstein $\lambda = 0.1, \Omega = 50 \text{meV}$

known

Ef found

 $A(k,\omega)$

 Σ'_{MDC}

 Σ'_{Known}

 Σ''_{MDC}

 Σ''_{KK}

 Σ''_{Ratio}

. Knowi

200



Outline Part II

HTSC: The fate of quasiparticle strength

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From Fermi Liquid to Mott Insulator


From Fermi Liquid to Mott Insulator



Sawatzky, Anderson, Randeria, Paramekanti, Yang, Rice, et al.

HTSCs: Charge Transfer Insulators



The dispersion instead of being the 2D tight-binding 8t (t~350meV) is 2.2J (J~125meV)

HTSCs: Charge Transfer Insulators





ε_F

High-T_c Superconductors: A Minimal Model



Density functional theory 5d Cu orbitals and 3 O orbitals 8-band model

1987 Anderson: the essential physics of the cuprates is captured by the 1-band Hubbard model

$$H = -t \sum_{\langle ij \rangle, \sigma} \left(c_{i\sigma}^{\dagger} c_{j\sigma} + \text{H.c.} \right) + U \sum_{i} n_{i\uparrow} n_{i\downarrow}$$

3-band model

Cu $3d_{x^2-y^2}$ O $2p_x$ and $2p_y$

1987 Emery: since the HTSCs are charge transfer insulators, both O and Cu have to be accounted for

1988 Zhang & Rice: projecting out double occupancy, Cu-O hybridization $H = -t \sum_{\langle ij \rangle, \sigma} (\tilde{c}_{i\sigma}^{\dagger} \tilde{c}_{j\sigma} + \text{H.c.}) + J \sum_{\langle ij \rangle} \left(\mathbf{S}_i \cdot \mathbf{S}_j - \frac{n_i n_j}{4} \right)$ leads to an effective 1-band model

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. 75, 473 (2003) -- see pgs 486-490

Doping a Mott Insulator: Spectral Weight Transfer

PHYSICAL REVIEW B

VOLUME 48, NUMBER 6

1 AUGUST 1993-II

Spectral-weight transfer: Breakdown of low-energy-scale sum rules in correlated systems

M. B. J. Meinders

Materials Science Centre, Department of Solid State and Applied Physics, University of Groningen, Nijenborgh 4, 9747 AG Groningen, The Netherlands

H. Eskes

Max-Planck-Institut für Festkörperforschung, Heisenbergstrasse 1, D-7000 Stuttgart 80, Federal Republic of Germany

G. A. Sawatzky

Materials Science Centre, Department of Solid State and Applied Physics, University of Groningen, Nijenborgh 4, 9747 AG Groningen, The Netherlands (Received 4 March 1993)

In this paper we study the spectral-weight transfer from the high- to the low-energy scale by means of exact diagonalization of finite clusters for the Mott-Hubbard and charge-transfer model. We find that the spectral-weight transfer is very sensitive to the hybridization strength as well as to the amount of doping. This implies that the effective number of low-energy degrees of freedom is a function of the hybridization and therefore of the volume and temperature. In this sense it is not possible to define a Hamiltonian which describes the low-energy-scale physics unless one accepts an effective nonparticle conservation.

It is the connection between low and high energy scales that distinguishes the Mott gap from a more conventional gap (i.e., semiconductor, CDW, etc.)

Doping a Mott Insulator: Spectral Weight Transfer



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Doping a Mott Insulator: Spectral Weight Transfer

Meinders, Eskes, Sawatzky, PRB 48, 3916 (1993)



SPECTRAL WEIGHT

FIG. 2. One-particle Green's function for a one-dimensional Hubbard-ring of N = 10 sites for U = 10 eV and t = 1 eV. The number of electrons in the ground state N are indicated. The low-energy electron-addition spectral weight is obtained by integration over the shaded area.

C.T. Chen et al., PRL 66, 104 (1991)



FIG. 1. (a) Normalized fluorescence yield at the O K edge of $La_{2-x}Sr_xCuO_{4+\delta}$. The solid curves are the common background described in the text. (b) The difference between the data of $La_{2-x}Sr_xCuO_4$ and the common background. The solid lines are the fitted curves using two Gaussian line shapes.

It is the connection between low and high energy scales that distinguishes the Mott gap from a more conventional gap (i.e., semiconductor, CDW, etc.)

Fermiology of Underdoped YBCO



●Y ●Ba ●Cu •O

Elfimov, Sawatzky, Damascelli PRB 77, 060504 (2008)







D. Fournier et al., Nature Physics 6, 905 (2010)

ARPES: The One-Particle Spectral Function

A. Damascelli, Z. Hussain, Z.-X Shen, Rev. Mod. Phys. 75, 473 (2003)



Photoemission intensity: $I(k, \omega) = I_0 / M(k, \omega) / f(\omega) A(k, \omega)$

Single-particle spectral function

$$A(\mathbf{k}, \omega) = -\frac{1}{\pi} \frac{\Sigma''(\mathbf{k}, \omega)}{[\omega - \epsilon_{\mathbf{k}} - \Sigma'(\mathbf{k}, \omega)]^2 + [\Sigma''(\mathbf{k}, \omega)]^2}$$

 $\Sigma(k,\omega)$: the "self-energy" captures the effects of interactions

$$\mathcal{H} = \sum_{k} \varepsilon_{k}^{b} c_{k}^{\dagger} c_{k} + \Omega \sum_{Q} b_{Q}^{\dagger} b_{Q} + \frac{g}{\sqrt{N}} \sum_{k,Q} c_{k-Q}^{\dagger} c_{k} (b_{Q}^{\dagger} + b_{-Q})$$



$$\begin{split} A(\mathbf{k},\omega) &= Z_{\mathbf{k}} \frac{\Gamma_{\mathbf{k}}/\pi}{(\omega - \varepsilon_{\mathbf{k}})^2 + \Gamma_{\mathbf{k}}^2} + A_{inc} \\ m^* &> m \quad |\varepsilon_{\mathbf{k}}| < |\epsilon_{\mathbf{k}}| \\ \tau_{\mathbf{k}} &= 1/\Gamma_{\mathbf{k}} \end{split}$$



Momentum

$$\mathcal{H} = \sum_{k} \varepsilon_{k}^{b} c_{k}^{\dagger} c_{k} + \Omega \sum_{Q} b_{Q}^{\dagger} b_{Q} + \frac{g}{\sqrt{N}} \sum_{k,Q} c_{k-Q}^{\dagger} c_{k} (b_{Q}^{\dagger} + b_{-Q})$$



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Momentum





Quasiparticle Coherence across the Phase Diagram



$$Z_{k} = \int A_{coh}(k, \omega) \, d\omega$$
$$I(k, \omega) = I_{0}(k) f(\omega) A(k, \omega)$$
$$x, \omega) \equiv A_{coh}(k, \omega) + A_{incoh}(k, \omega)$$

Fermi liquid system

 $Z_k = \int I_{coh}(k,\omega) \, d\omega / \int I(k,\omega) \, d\omega$

Quasiparticle Coherence across the Phase Diagram



 $Z_k = \int I_{coh}(k,\omega) \, d\omega / \int I(k,\omega) \, d\omega$

Quasiparticle Coherence across the Phase Diagram



$$Z_k = \int I_{coh}(k,\omega) \, d\omega / \int I(k,\omega) \, d\omega$$

Bilayer Band Splitting and Quasiparticle Integrity

$$\epsilon^{B,AB}(k) = \epsilon(k) \mp t_{\perp}^{eff}(k) = \epsilon(k) \mp Z_k t_{\perp}^{LDA}(k)$$



FS with bilayer splitting





 $Z \simeq 2p/(p+1)$

Sawatzky, Anderson, Randeria, Rice, et al.

Bilayer band splitting and quasiparticle coherence

 $Z_N = \Delta \epsilon_N^{B,AB} / 2t_\perp^{LDA}(N)$



Fournier et al., Nature Physics 6, 905 (2010)

Bilayer band splitting and quasiparticle coherence



Fournier et al., Nature Physics 6, 905 (2010)

Bilayer band splitting and quasiparticle coherence

$$Z_N = \Delta \epsilon_N^{B,AB} / 2t_\perp^{LDA}(N)$$

- Quantitative estimate of Z
- Agreement with 2p/(p+1) for x>0.23
- Isotropic $Z_N \sim 0.54$ and $Z_{AN} \sim 0.50$
- Vanishing Z_N below 15-10%
- t_{\perp} ~10meV consistent with QO
- Z even smaller for pockets' "other side"
- Pseudogap? Loss of coherent SW
- Fermi surface? Luttinger's counting?



Fournier et al., Nature Physics 6, 905 (2010)



Andrea Damascelli UBC-MPI Quantum Matter Institute

Thank you!

CUSO Lecture – Lausanne 02/2011